



State of New Jersey

PHIL MURPHY
Governor

SHEILA OLIVER
Lt. Governor

CASINO CONTROL COMMISSION
TENNESSEE AVENUE AND BOARDWALK
Atlantic City, New Jersey 08401

JAMES T. PLOUISIS
Chairman

ALISA BETH COOPER
JOYCE MOLLINEAUX
Commissioners

MINUTES - PUBLIC MEETING NO. 21-10-13
October 13 and 14, 2021 - 10:30 a.m.

(609) 441-3422

New Jersey Casino Control Commission
Joseph P. Lordi Public Meeting Room - First Floor
Tennessee Avenue & Boardwalk
Atlantic City, New Jersey

PRESIDING: Chairman James T. Plouisis

PRESENT: Vice Chair Alisa B. Cooper and Commissioner Joyce Mollineaux

In furtherance of Governor Philip D. Murphy's Executive Order 103 (2020), the Casino Control Commission's meeting was held via remote technology. The public listened through access provided on the Commission's website, as well as through our YouTube channel, and public comments were accepted via e-mail.

Subsequent to the reading of a statement of compliance with the notice requirements of the Open Public Meetings Act and identification of items discussed during closed session, the Commission considered and took formal action on the following matters:

1. By votes of 3-0, ratified the minutes of September 15, 2021; and the Special Meeting of September 21, 2021 (October 13 - transcript pages 10-11).
2. By a vote of 3-0, granted the initial applications of Donald A. Holbrook, 2nd, Michael O'Sullivan and Urviben V. Patel, for casino key employee licenses (Exhibit EL-1*; October 13 - transcript pages 11-12); and

By a vote of 3-0, remanded the initial application of Shadiyah A. Shannon for a casino key employee license to a hearing (Exhibit EL-1*; October 13 - transcript pages 12-13).

3. By a vote of 3-0, granted the resubmitted applications of Vincent J. Bombara, Benjamin R. George, Thomas H. Gitto, Kathleen A. Gonzalez (*a/k/a* Kathleen A. Lloyd), Rajendra N. Paul, Hipolito Reyes, Jr. (*a/k/a* Hipolito Reyes-Rivera) and Paul M. Yu, for casino key employee licenses (Exhibit EL-2*; October 13 - transcript pages 13-14).
4. Temporary casino key employee licenses were issued between September 8, 2021 and October 5, 2021, pursuant to Delegation of Authority Resolution Number 17-01-11-11-C to the following applicants: Howard W. Barnes, Quajanera Chapman, Laura A. Day, Brian M. Fogler, Christian J. Golder, Franklin D. Heuman, David S. Kloster, James P. Llewellyn, Jamal W. McLean and Kimberly B. Perkins (Exhibit EL-3*; October 13 - transcript pages 14-16).
5. By a vote of 3-0, granted the requested relief and inactivated the casino key employee licenses held by Shawn T. Cassatt, Michael J. Harty, Jr., James M. Rottler, Jr. and Janis M. Sullivan (Ruling numbers 21-09-15-05-A, 21-09-15-05-B, 21-09-15-05-C; October 13 - transcript pages 16-17).
6. By a vote of 3-0, found that Jean D. Alexander, Cezar Rodriguez and Jose J. Sanchez casino key employee licenses lapsed, pursuant to N.J.A.C. 19:41A-6.1 (f) of the regulations. (Ruling numbers 21-09-15-06-A, 21-09-15-06-B, 21-09-15-06-C, 21-09-15-06-D, 21-09-15-06-E; October 13 - transcript pages 17-18).
7. By a vote of 3-0, granted the initial application of Michael Edwards, Jr., for a casino key employee license (DTK 21-0010-CK) (Ruling number 21-10-13-07; October 13 - transcript pages 18-20).
8. Considered the initial application of Danielle Coleman, for a casino key employee license and issued the following ruling:

By a vote of 3-0, approved the stipulation of settlement between the Division of Gaming Enforcement and Danielle Coleman; and granted the initial application of Ms. Coleman, for a casino key employee license, subject to compliance with the terms and conditions as provided for in the stipulation (DTK 21-0007-CK) (Ruling number 21-10-13-08; October 13 - transcript pages 20-21).
9. By a vote of 3-0 adopted the draft resolution; and issued an initial casino key employee license to Zaruhi Alayan, pursuant to N.J.S.A. 5:12-89b; and found Ms. Alayan plenary qualified to serve as Vice President of Finance of Tropicana Atlantic City Corp, in accordance with N.J.S.A. 5:12-85.1; N.J.S.A. 5:12-89a; N.J.S.A. 5:12-89b and N.J.A.C. 13:69C-2.6 (Ruling number 21-10-13-09; October 13 - transcript pages 21-24).

10. By a vote of 3-0 adopted the draft resolution; and issued an initial casino key employee license to Anthony M. Caratozzolo, pursuant to N.J.S.A. 5:12-89b; and found Mr. Caratozzolo plenary qualified to serve as Vice President of Hospitality for Marina District Development Company, LLC (*d/b/a* Borgata Hotel Casino and Spa), in accordance with N.J.S.A. 5:12-85.1; N.J.S.A. 5:12-89a; N.J.S.A. 5:12-89b and N.J.A.C. 13:69C-2.6 (Ruling number 21-10-13-10; October 13 - transcript pages 24-27).

11. Considered the Petition of OCR Investment, LLC for interim casino authorization, pursuant to N.J.S.A. 5:12-95.12 *et seq* (PRN 1762101).

By a vote of 3-0, convened into Executive Session with the Parties to consider and determine several proposed outstanding sealing requests. (transcript pages 30-31)

The Commission reconvened the Public Meeting at 1:00 p.m.; and identified the following exhibits: D-1 and D-2 from the Division of Gaming Enforcement ("Division"); and A-1 from OCR Investment, LLC ("Applicant") October 13 - transcript pages 32-33).

The Commission considered the sealing request and arguments presented in Executive Session.

By a vote of 3-0, approved the modified sealing request and redacted portions of exhibit D-1 in evidence (Order Number 21-10-13-11A; October 13 - transcript pages 33-34).

Considered the Motion of AC Ocean Walk, LLC (*d/b/a* Ocean Casino Resorts), seeking permission to intervene and/or participate in the Petition of OCR Investment, LLC for interim casino authorization, pursuant to N.J.S.A. 5:12-95.12 *et seq* (PRN 1762101).

The Commission heard presentations of Stephen Schrier, Esq., Counsel for AC Ocean Walk LLC ("Movant"); Sara Ben-David, Deputy Attorney General of the Division of Gaming Enforcement ("Division") and Lynne L. Kaufman, Esq., Counsel for OCR Investment, LLC ("Applicant").

By a vote of 3-0, granted the Motion of AC Ocean Walk, to intervene and/or participate in the Petition of OCR Investment, LLC for interim casino authorization (Order Number 21-10-13-11B; October 13 - transcript pages 34-38).

The Commission received into evidence the following exhibits: D-1, D-2 and D-3 from the ("Division"); and A-1 from the ("Applicant") October 13 - transcript pages 38-41).

By a vote of 3-0, approved the sealing request and redacted portions of exhibit A-1 in evidence. (This vote is incorporated in ruling number Number 21-10-13-11; October 13 - transcript pages 41).

The Commission received opening statements from Lynne L. Kaufman, Esq., Counsel for OCR Investment, LLC; and Sara Ben-David, Deputy Attorney General of the Division of Gaming Enforcement.

The Commission heard presentations from Applicants' Counsel and the testimony of Bruce Dall, President, OCR Investment, LLC and John Policicchio, Executive Vice President, Treasurer and Secretary, OCR Investment LLC.

Chairman Plousis recessed the public meeting at 4:14 p.m. until October 14, 2021. (transcript of October 13, 2021– pages 1 - 123).

On October 14, 2021, Chairman Plousis reconvened the public meeting at 10:30 a.m., and heard presentations of Stephen Schrier, Esq., Counsel for AC Ocean Walk LLC; Sara Ben-David, Deputy Attorney General of the Division of Gaming Enforcement and the testimony of Michael Conboy (October 14 - transcript pages 5-28).

The Commission received closing statements from Sara Ben-David, Deputy Attorney General of the Division of Gaming Enforcement; Lynne L. Kaufman, Esq., Counsel for OCR Investment, LLC; and Stephen Schrier, Esq., Counsel for AC Ocean Walk LLC (October 14 - transcript pages 29-33).

The Commission considered the witnesses' testimony, written submissions, petitions, exhibits and oral arguments presented and issued the following ruling:

By a vote of 3-0, adopted the draft resolution and issued the following rulings:

- a) granted interim casino authorization to OCR Investment, LLC;
- b) approved the interim casino authorization Trust Agreement, pursuant to N.J.S.A. 5:12-95.14; and
- c) found William Murtha, Esq., qualified to serve as Interim Casino Authorization Trustee;

in accordance with the findings and rulings, as set forth in the Resolution (PRN 1762101) (ruling number 21-10-13-11; October 14 - transcript pages 34-42).

In accordance with Resolution No. 20-12-09-03, the next closed session of the Commission shall be held on Wednesday, November 10, 2021 at 9:30 a.m. in the Commission Offices (October 14 – transcript page 44).

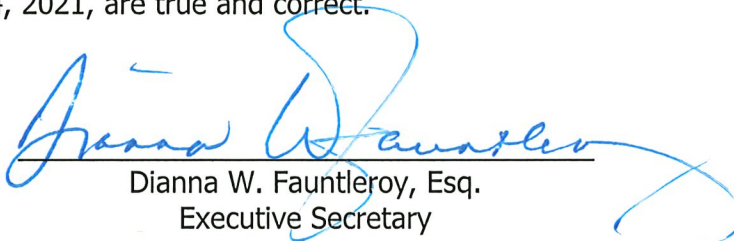
There being no member of the public to address the Commission, the meeting adjourned at 12:45 p.m. (transcript of October 13, 2021 – pages 1-123; transcript of October 14, 2021 – pages 1-46).

*Lists of individuals acted upon at this meeting have been documented by exhibit number. Commission rulings are documented in orders or resolutions. These lists, rulings and official transcripts are available for public review at the Commission offices located at the corner of Tennessee Avenue and the Boardwalk, Atlantic City, New Jersey.

These minutes remain subject to amendment until such time as they are formally ratified by the New Jersey Casino Control Commission.

CERTIFICATION

I, Dianna W. Fauntleroy, Executive Secretary of the New Jersey Casino Control Commission, DO HEREBY CERTIFY that the foregoing Minutes of the Open Session conducted on October 13, 2021, and October 14, 2021, are true and correct.



Dianna W. Fauntleroy, Esq.
Executive Secretary